

The San Diego



Tuesday
October 23, 2007

Union-Tribune.

50¢
PLUS TAX
Final

300,000 FLEE FIRES

Blazes march toward coast; hundreds of homes destroyed in county

**ESTABLISHING THE SCIENTIFIC BURDEN OF
PROOF IN WILDFIRE SMOKE DAMAGE CLAIMS**

Daniel M. Baxter

Environmental Analysis Associates, Inc.

Bay City, Michigan / San Diego, California

858-272-7747

dbaxter@eaalab.com website: eaalab.com

FORENSIC BURDEN OF PROOF IN FIRE CLAIMS - OVERVIEW

- The investigation must specifically address the claims or allegations being made in the case.
- No single piece of evidence will likely solve the case.
- Cumulative “*more likely than not*” evidence will determine whether allegations are true, false, or unresolved.
- Laboratory analysis results alone will not directly solve claims of “damage” or “contamination”.
- The burden of proof usually comes down to “*proving a negative*”, or assembling enough evidence to support opposing claims.

COMPONENTS OF A WILDFIRE

- A “wildfire” is complex mix of combusted materials including lofted soil and vegetation produced by “fire-storm” winds.
- No single analysis tool will give us a concise “silver bullet” answer.
- This complexity often allows differentiation from other combustion sources.

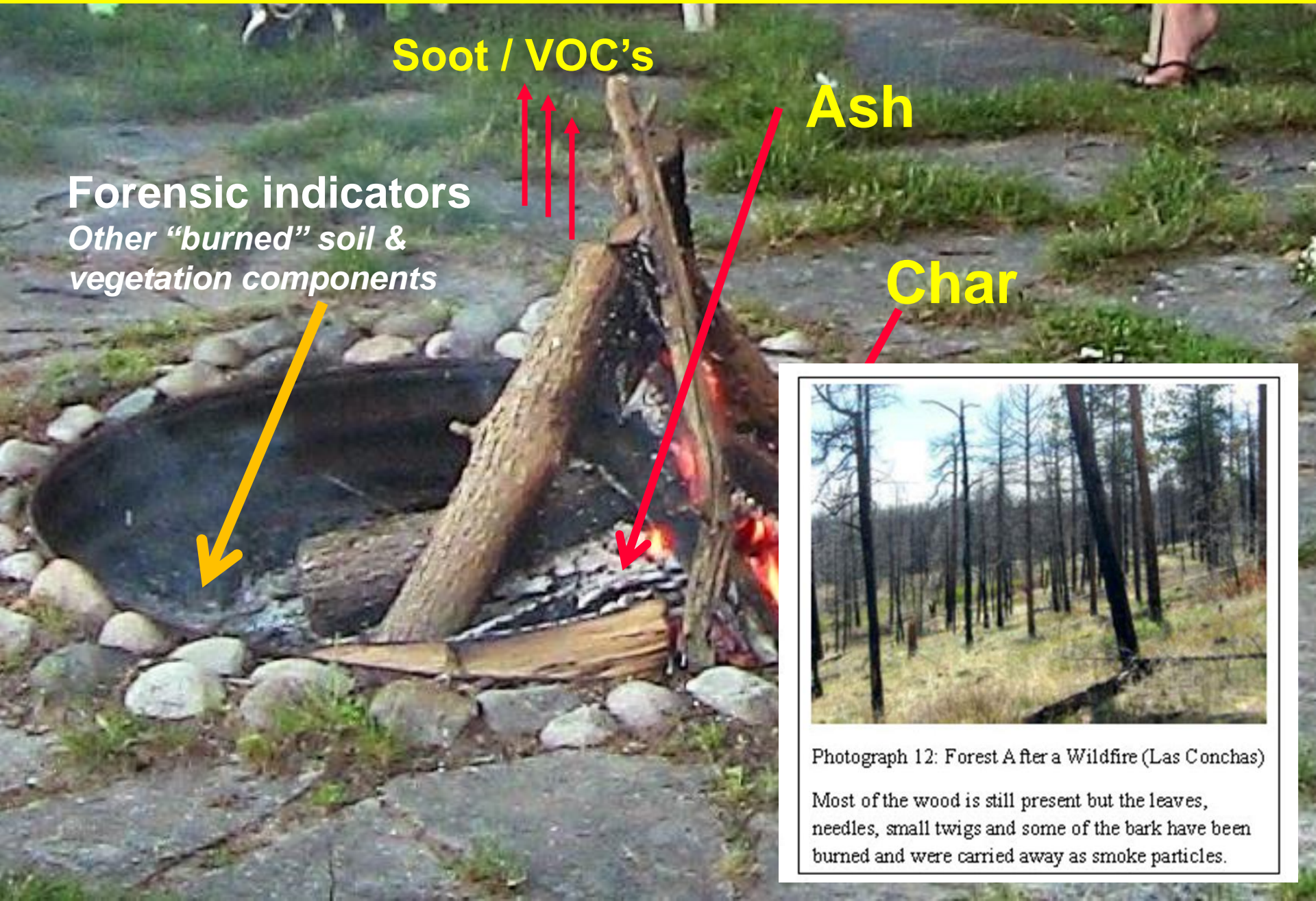
Conventional monitoring parameters

- Transitional acid gases
- Semi-volatiles, VOC's, metals
- Semi-volatile soot / resinous particles
- **Quantification of combustion particles (soot, char, ash)**

“Assemblage” parameters

- **Re-entrained and wind-lofted “burned” soil particles**
- **“Identified” vegetation types within the char particles**
- **Identified components within “ash” (i.e. burned pollen, phytoliths, etc.)**

COMPONENTS OF A CAMPFIRE



Soot / VOC's

Ash

Char

Forensic indicators
Other "burned" soil &
vegetation components



Photograph 12: Forest After a Wildfire (Las Conchas)

Most of the wood is still present but the leaves, needles, small twigs and some of the bark have been burned and were carried away as smoke particles.

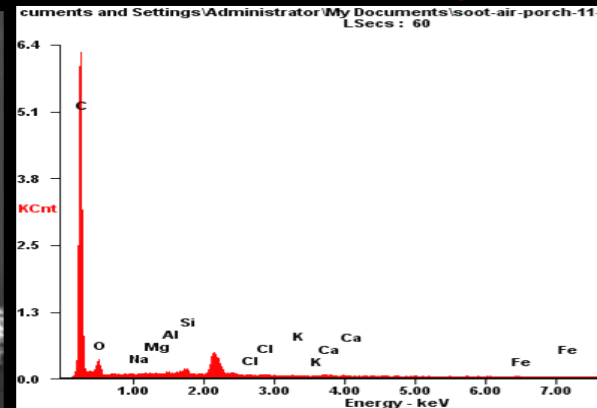
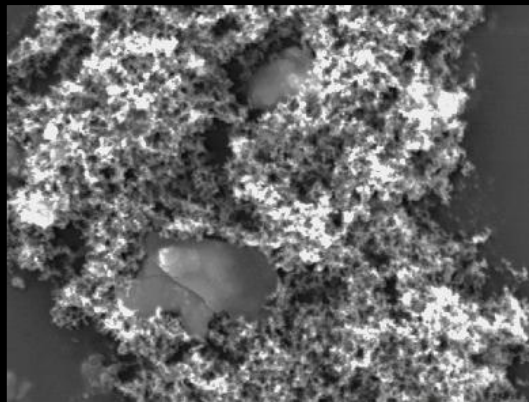
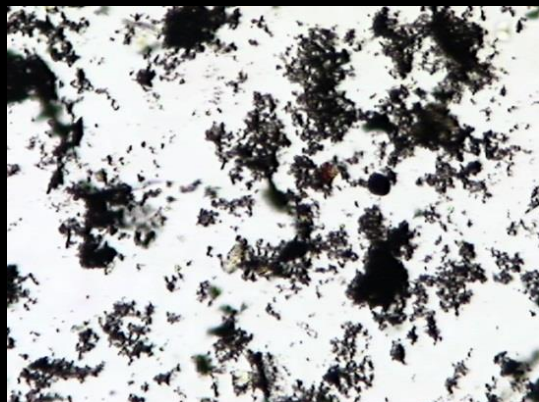
"GENERAL" FIRE RESIDUE PARTICLE CLASSIFICATION

Optical Microscopy

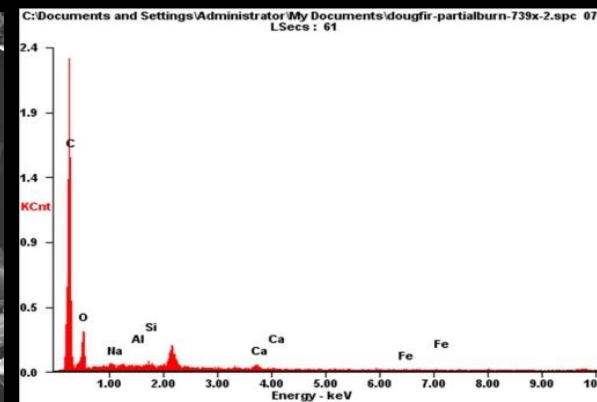
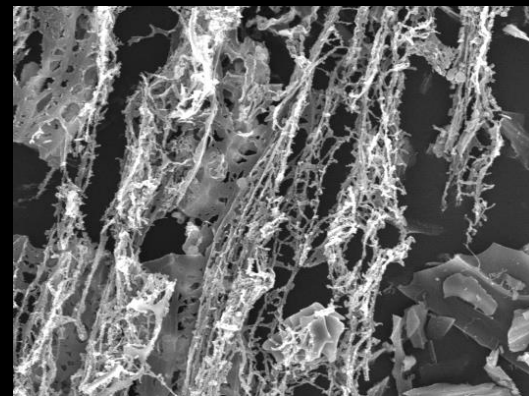
SEM

Dispersive X-ray

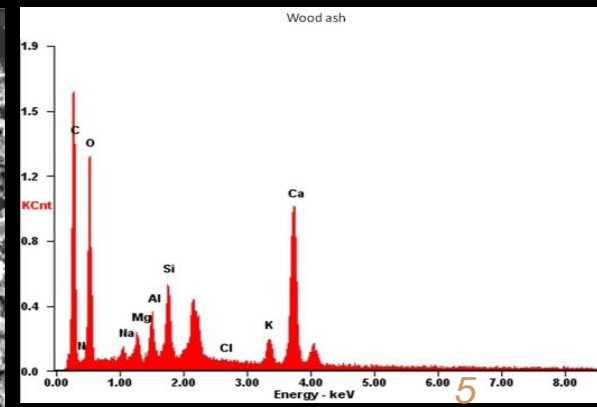
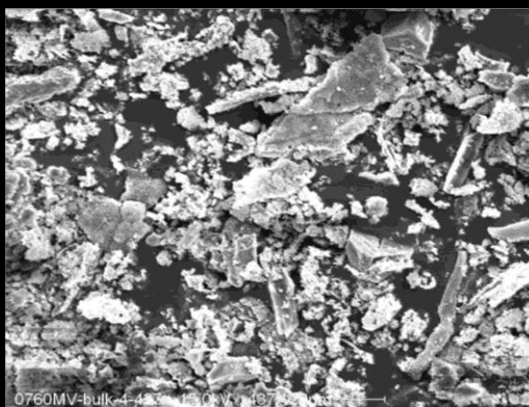
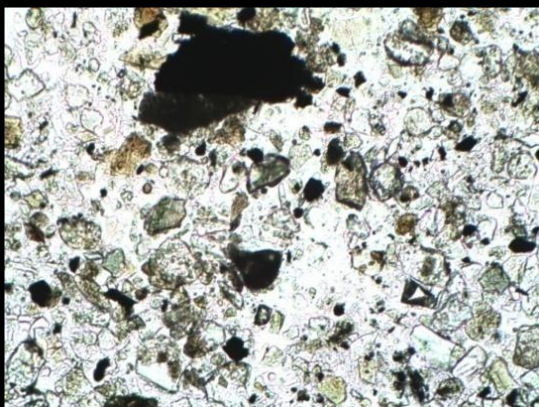
Soot



Char



Ash



Determining Chemical Indicators: Literature Review

Schauer et al., EnvSciTech, 2006

n-alkanes
 branched alkanes
 n-alkenes
 branched alkenes
 alkynes
 diolefins
 cycloalkanes
 cycloalkenes
 aromatic hydrocarbons
 polycyclic aromatic hydrocarbons
 phenol and substituted phenols
 guaiacol and substituted guaiacols
 syringol and substituted syringols
 aliphatic aldehydes
 aliphatic ketones
 olefinic aldehydes
 aromatic carbonyls
 dicarbonyls
 n-alkenoic acids
 resin acids
 Sugars (e.g., levoglucosan)
 PAH ketones
 other compounds

Clark County, Exceptional Event Report, 2003

PM2.5
 Organic carbon
 Elemental carbon
 Elemental Species
 • Potassium
 • Chloride
 CO
 CO2
 Alkanes (C2-C10)
 Alkenes (C2-C9)
 Aromatics (BTEX)
 Oxygenated VOCs
 • Methanol
 • Formic acid

Ward, et al., J AWMA 2011

Phenol
 2-methylphenol
 4-methylphenol
 2,4-dimethylphenol
 Naphthalene
 2-methylnaphthalene
 Acenaphthylene
 Acenaphthene
 Dibenzofuran
 Fluorene
 Phenanthrene
 Anthracene
 Fluoranthene
 Pyrene
 Benzo(a)anthracene

Heitmann et al., Chemosphere 2009, 2011

Acetophenone
 Benzyl alcohol
 4-Ethyl-2-methoxyphenol
 2-Hydroxybenzaldehyde
 2-Hydroxy-5-methylbenzaldehyde
 2-Methoxyphenol
 2-Methoxy-4-methylphenol
 2-Methylphenol
 3-/4-Methylphenol
 Naphthalene

Larson et al., Ann N Y Acad Sci, 1994

Carbon monoxide
 Methane
 VOCs (Cz--CT)
 Aldehydes
 Formaldehyde
 Acrolein
 Propionaldehyde
 Butyraldehyde
 Acetaldehyde
 Furfural
 Substituted furans
 Benzene
 Alkyl benzenes
 Toluene
 Acetic acid
 Formic acid
 Nitrogen oxides (NO, NO2)
 Sulfur dioxide
 Methyl chloride
 Naphthalene
 Substituted naphthalenes
 Oxygenated monoaromatics
 Guaiacol (and derivatives)
 Phenol (and derivatives)
 Syringol (and derivatives)
 Catechol (and derivatives)
 Total particulate matter
 Particulate matter
 Oxygenated PAHs

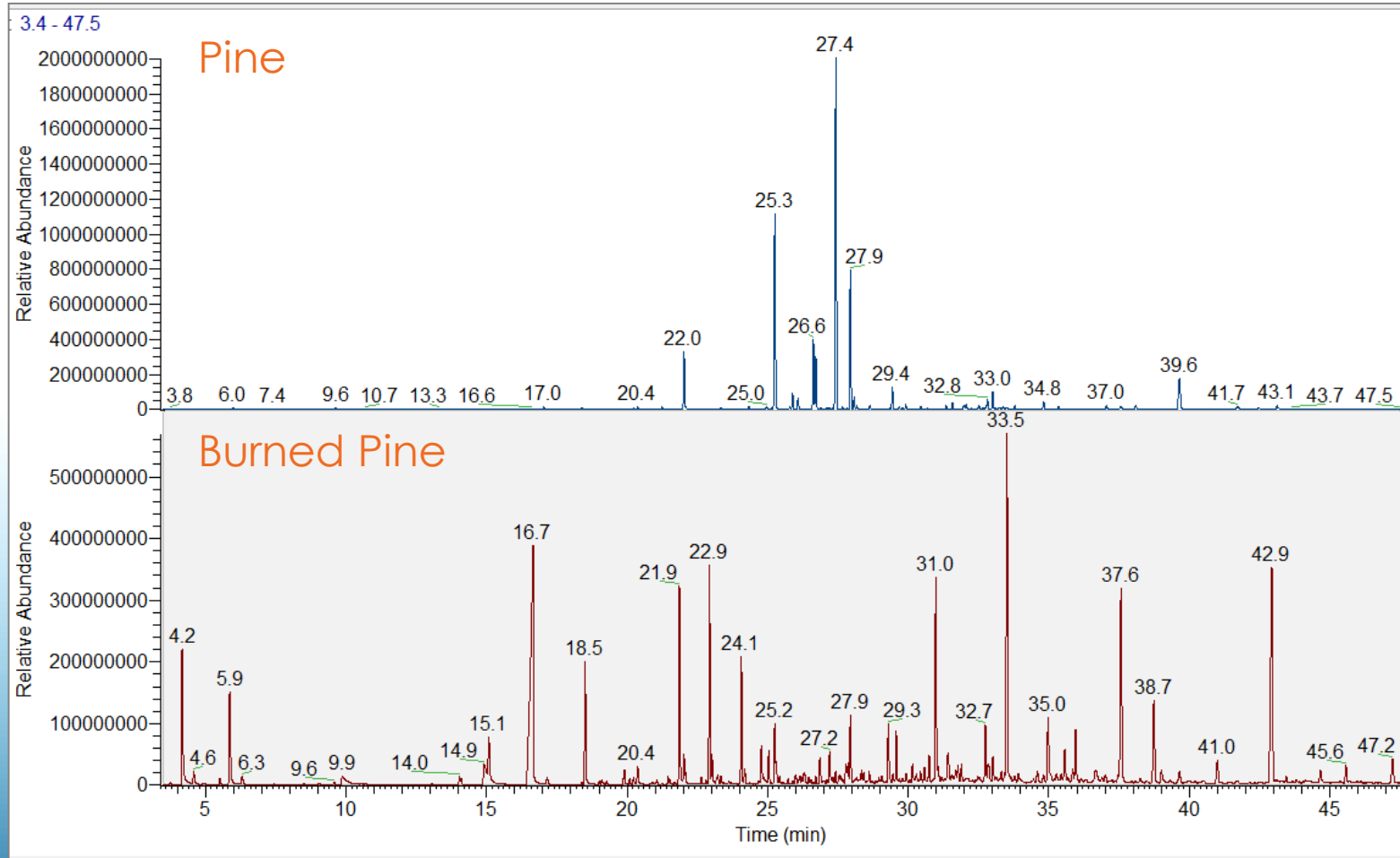
Pechan, Developing NEI-Commercial Cooking: Tech. Memorandum, 2003

VOC
 CO
 PM
 10 PM
 2.5 PM
 NAPHTHALENE
 BENZO[A]PYRENE
 ACENAPHTHYLENE
 FLUORENE
 PHENANTHRENE
 FLUORANTHENE
 PYRENE
 BENZ[A]ANTHRACENE
 INDENO[1,2,3-C,D]PYRENE
 ACENAPHTHENE
 ANTHRACENE
 BENZO[G,H,I,]PERYLENE
 PAH, TOTAL
 BIPHENYL
 BENZENE
 TOLUENE
 ETHYL BENZENE
 XYLENES
 STYRENE
 FORMALDEHYDE
 ACETALDEHYDE

Dhammapala et al., Atm Env, 2007

PAHs
 Methoxyphenols
 Levoglucosan
 Elemental Carbon
 Organic Carbon

Chemical Indicators - GCMS

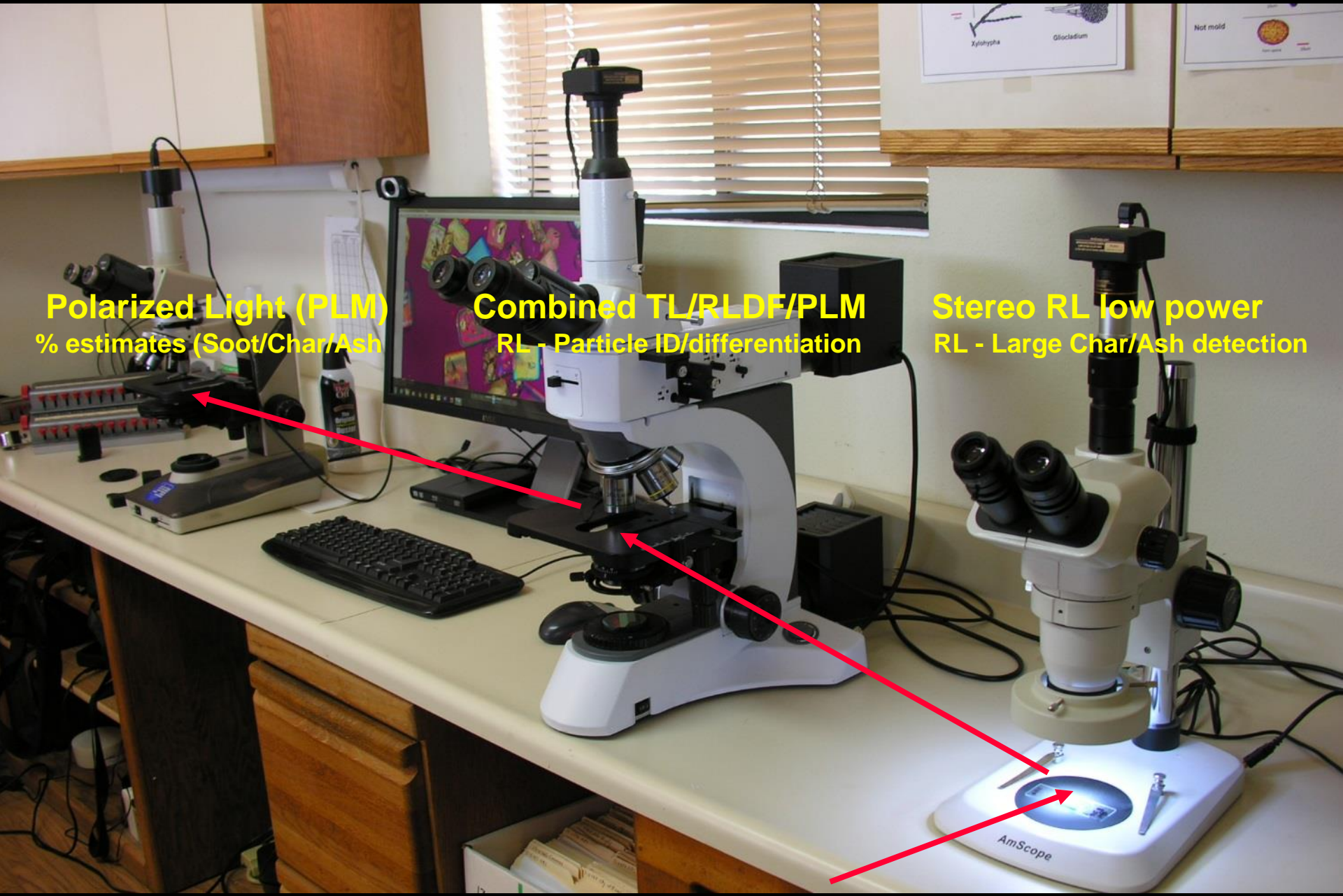


Complete Analysis Requires Reflected & Transmitted Light Microscopy

Polarized Light (PLM)
% estimates (Soot/Char/Ash)

Combined TL/RLDF/PLM
RL - Particle ID/differentiation

Stereo RL low power
RL - Large Char/Ash detection



WHAT IS THE FORENSIC RATIONALE IN ADDRESSING FIRE CLAIMS?

3 common allegations encountered in “fire” claims:

- **“Contamination” / Nuisance** –
Implies the airborne or surface environment is “atypical” or elevated above the normal geographic background, or typical structure utilization.
- **Elevated Health Exposure** –
The constituents found will produce an adverse impact (disease, irritant, chemical) above normal background.
- **“Damage”** –
The surfaces or contents have been altered or changed in a manner affecting their appearance, functionality, value, or service life.

WHAT IS THE BURDEN OF PROOF?

More likely than not conclusion “thresholds”:

1. “Fire / Combustion residue” is present above the normal or typical background.
2. The “combustion residue” is associated with a specific event, and is not due to other sources or another cause.
3. The level of “combustion residue” could pose a health risk.
4. The condition has caused actual “damage” that significantly alters the pre-loss condition.
5. In order to restore the site to pre-loss condition, cleaning or remediation is required.

Not part of the site investigation but still critical:

Is the alleged loss or event covered in the first place?

WHAT ARE POTENTIAL “DAMAGE” INDICATORS?

- **Visual alteration**
- **Physical alteration**
- **Chemical alteration**
- **“Environmental” alteration**
- **Is the damage temporary or permanent?**
- **Is simple cleaning, or “restoration” required?**
- **Can the “damage” be restored to a pre-loss condition?**

IS THE “SMOKE” FROM A “WILDFIRE”?

1. The elevated presence of soot, char, and/or ash does not automatically indicate the combustion residue is from a “wildfire”.
2. “Qualitative parameters” and the particle assemblage must also be used to determine if the combustion residue is “consistent” with a “wildfire”.
 - Presence / absence of “large” char and ash particles
 - Presence of “burned” soil or carbonized quartz grains
 - Presence of “burned” pollen grains
 - Presence of plant “phytoliths”

CAN ANALYTICAL TESTING SUPPORT A FINDING OF “DAMAGE” ?

KNOWN FACTS:

- Visual / photographic documentation is the most useful evidence for physical alteration.
- The reactive properties of combustion residue changes over time, and exposure to moisture and UV light.
- Soot / char can cause “cosmetic” / visual alteration and residual odor.
- Wildfire “soot” & “char” are typically at a “neutral” pH and low conductivity. *Result → Actual chemical damage is less certain.*
- **The mineral ash components can theoretically cause chemical changes and corrosion in certain materials. At the same time, these components are rarely monitored.**

THE INVESTIGATION

Step 1:

GENERAL HISTORY:

Photos of site geography, plume history, meteorological conditions, type of fuel burned, potential re-entrainment, other sources, etc.

SITE CONDITIONS:

Document the presence / absence of physical or visual field evidence (staining, color changes, physical alteration).

“TYPICAL” vs. “ATYPICAL” FINDINGS?

STEP 2:

Determine if the presence / absence of fire/combustion residue is atypical or above background.

“SUGGESTED” CONTAMINATION GUIDANCE – MICROSCOPY

Optical Microscopy - % Totals of char, ash, & soot-like debris



<1%	“Typical” or normal background
1-3%	“Atypical” conditions unlikely but possible
3-10%	“Atypical” conditions are possible to likely.
>10%	“Atypical” conditions are present

Surface fire residue particles - “numerical ratio or area measurements” cannot be directly used as a measure of “damage”.

REMEMBER – The laboratory variability of this type of data is 1% +/- 3%

WHAT IS THE SOURCE OF FIRE RESIDUE?

STEP 3:

WHAT IS THE SOURCE?

LABORATORY EVIDENCE:

- Is the reported “fire residue” assemblage consistent with “wildfire”?

Burned soil, carbonized quartz grains, burned pollen, etc.

- Is the ash “fresh” and comprised of caustic components that could cause physical damage?

pH and conductivity analysis

IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS ASSEMBLAGE:

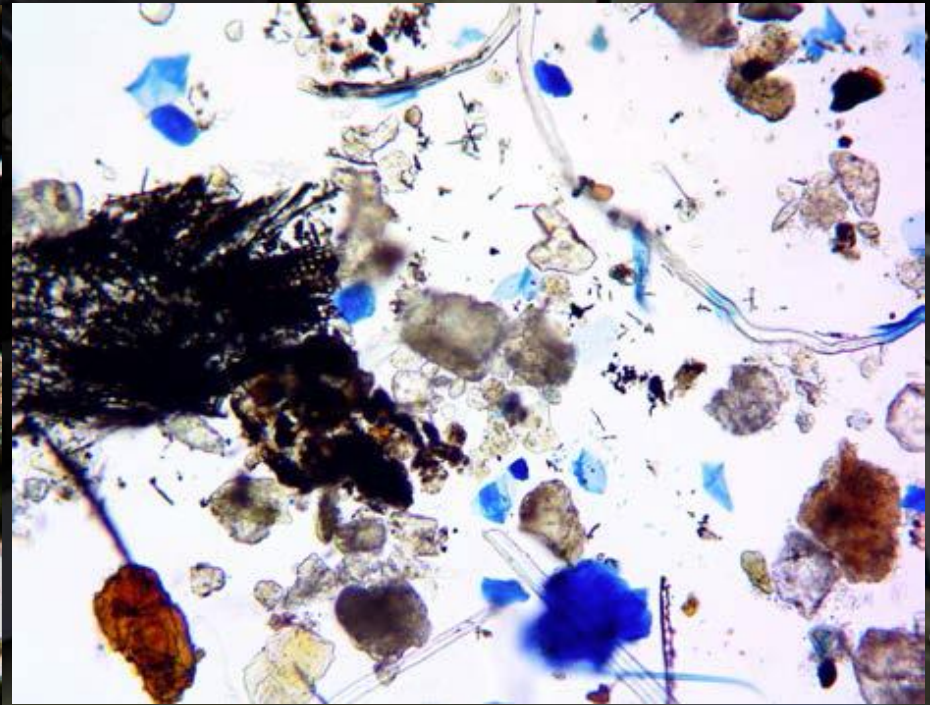
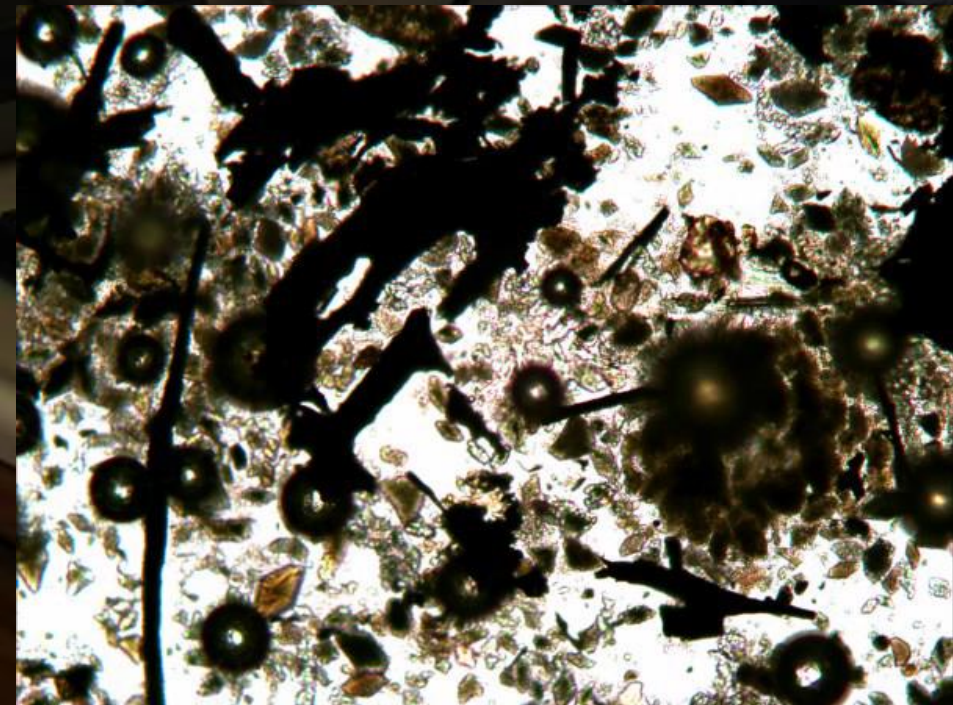
Presence of residual “burned” leaf, brush, or grass vegetation

Presence of “burned” pollen grains

Presence of “carbonized” quartz grains

Presence of “burned” clays

Presence of plant “phytoliths”



IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS ASSEMBLAGE:

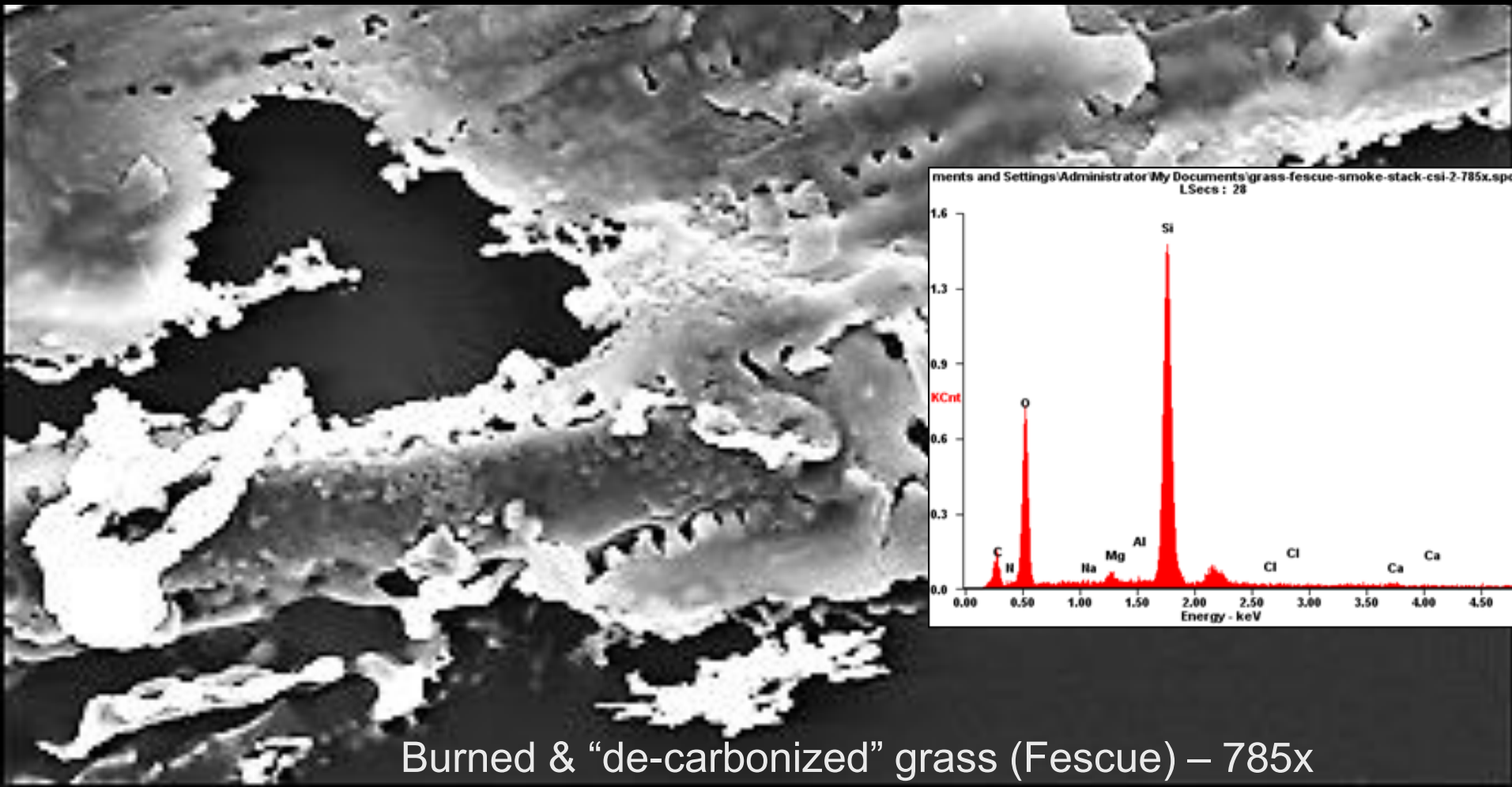
Presence of residual “burned” leaf, brush, or grass vegetation

Presence of “burned” pollen grains

Presence of “carbonized” quartz grains

Presence of “burned” clays

Presence of plant “phytoliths”



Burned & “de-carbonized” grass (Fescue) – 785x

IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS / ASSEMBLAGE:

Presence of residual “burned” vegetation

Presence of “burned” pollen grains

Presence of “carbonized” quartz grains

Presence of “burned” clays

Presence of plant “phytoliths”



Normal pine pollen



“Burned pine pollen

IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS / ASSEMBLAGE:

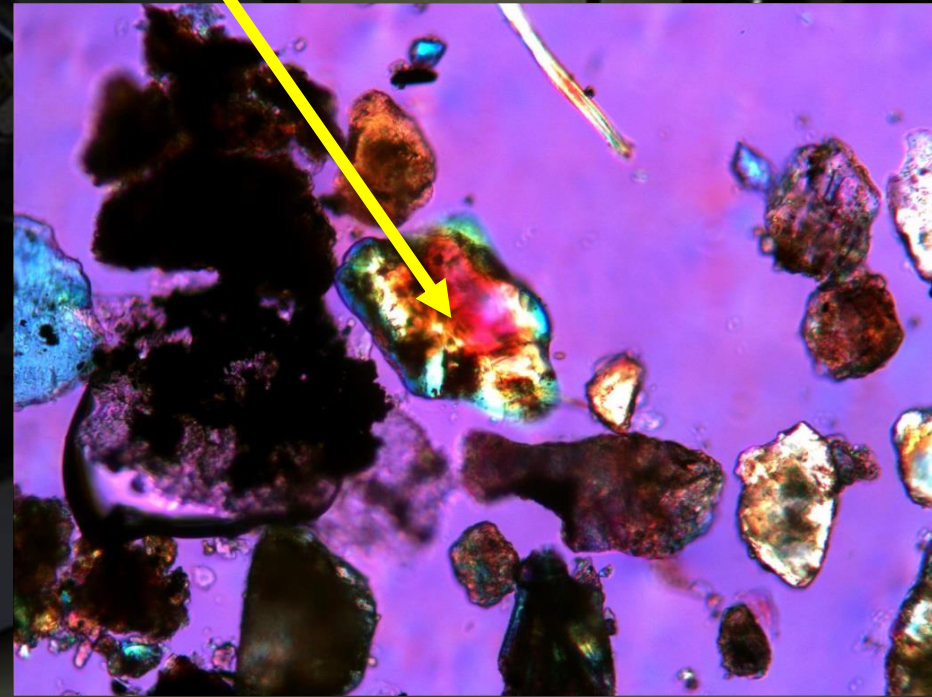
Presence of residual “burned” vegetation

Presence of “burned” pollen grains

Presence of “burned” or “carbonized” quartz grains

Presence of “burned” clays

Presence of plant “phytoliths”



IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS / ASSEMBLAGE:

- Presence of residual “burned” vegetation
- Presence of “burned” pollen grains
- Presence of “burned” or “carbonized” quartz grains

Presence of “burned” clays

- Presence of plant “phytoliths”

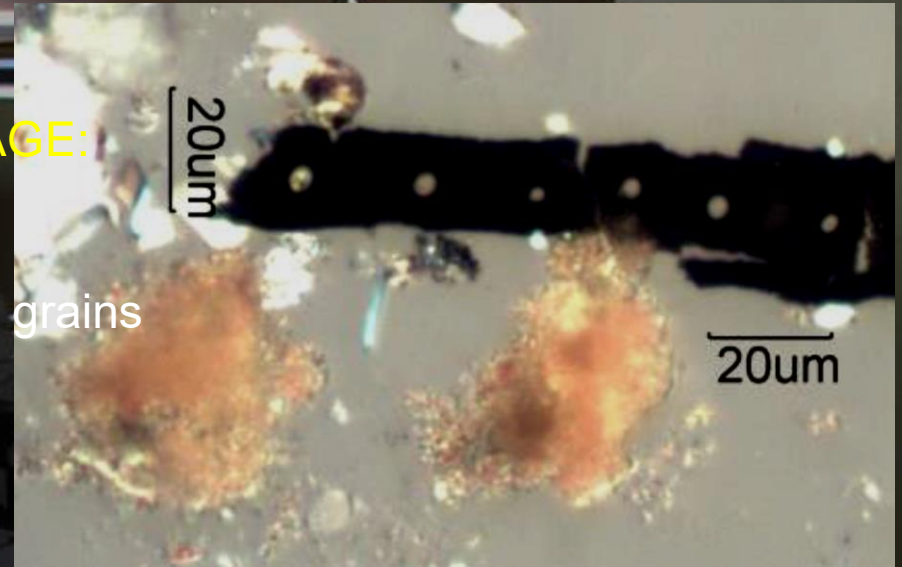
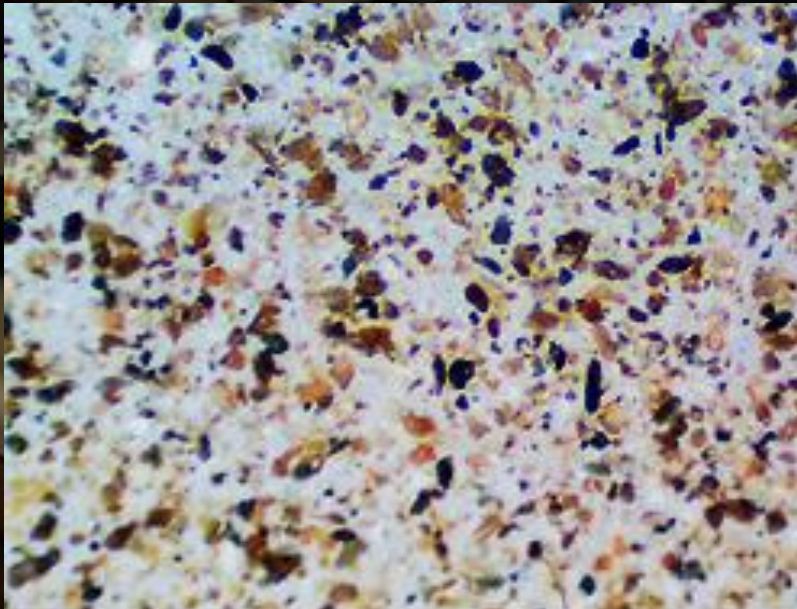
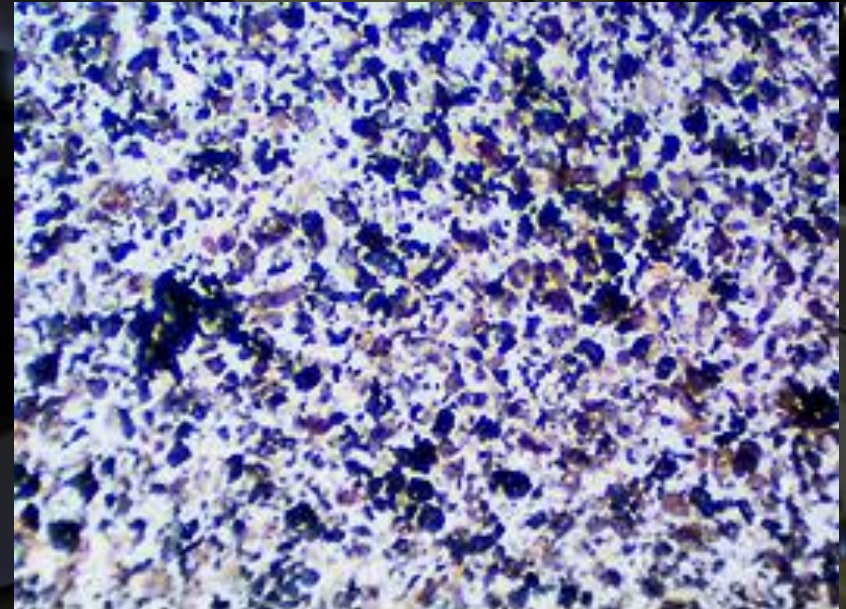


Photo courtesy of MicroLab Northwest – burned clays



“Normal soil” 62um fraction (RL)



“Burned soil” 62um fraction (RL)

IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS / ASSEMBLAGE:

Presence of residual “burned” leaf or grass vegetation

Presence of “carbonized” quartz grains

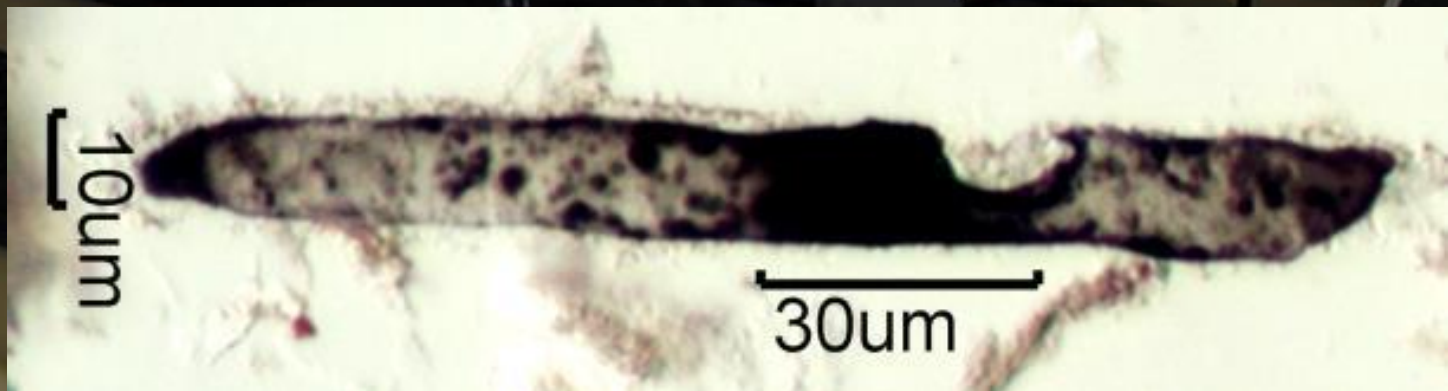
Presence of “burned” clays

Presence of “burned” pollen grains

Presence of plant (grass / leaf) “phytoliths”



Pine phytolith – Photo courtesy of MicroLab Northwest

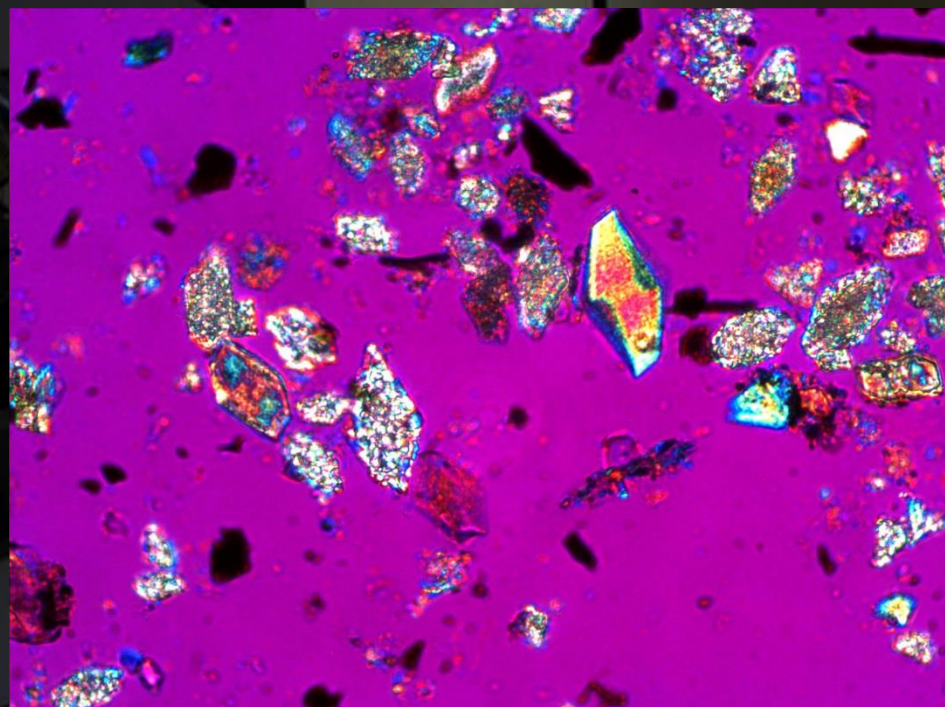
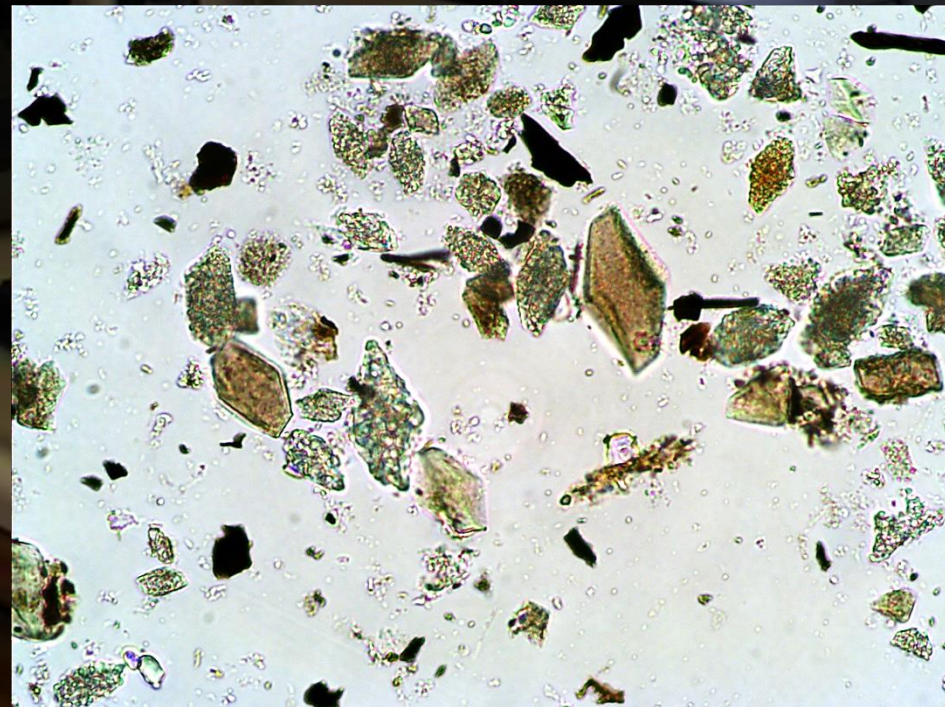


Charred silica phytolith- Photos courtesy of MicroLab Northwest

IS THE “SMOKE” FROM A “WILDFIRE”?

QUALITATIVE PARAMETERS / ASSEMBLAGE:

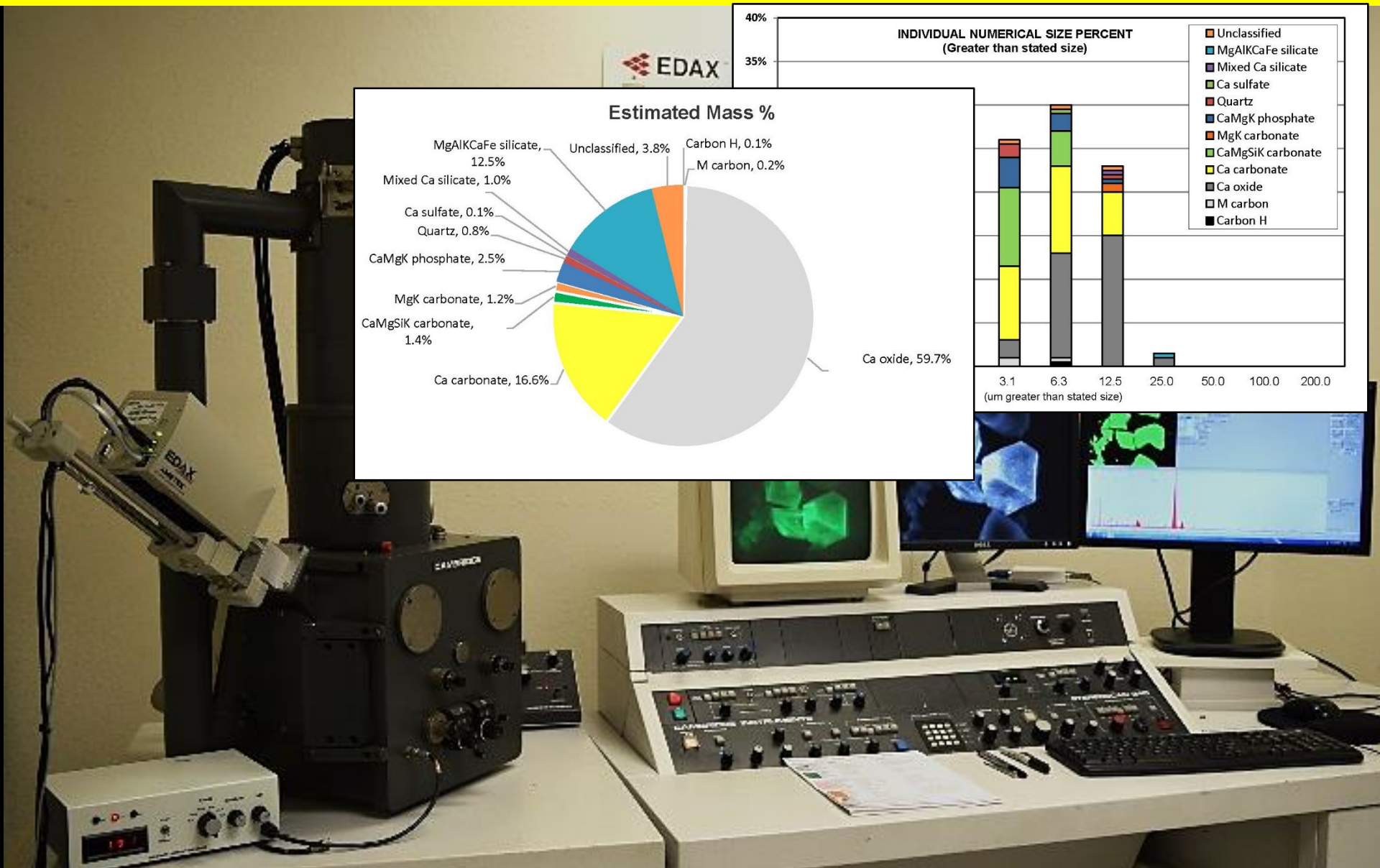
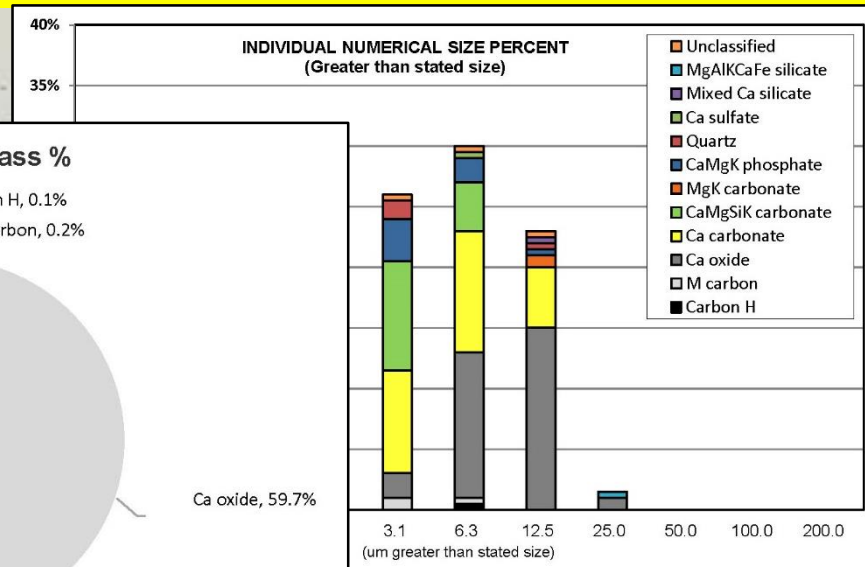
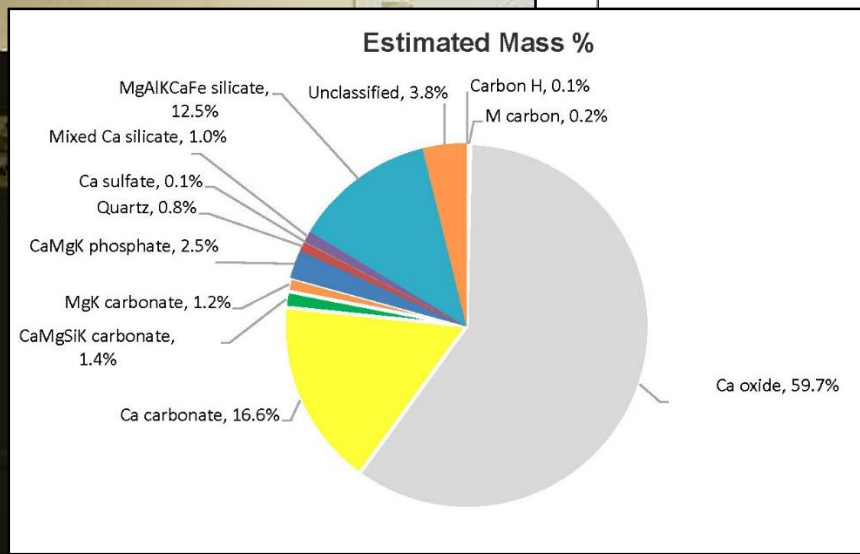
- Presence of residual “burned” leaf or grass vegetation
- Presence of “burned” pollen grains
- Presence of “carbonized” quartz grains
- Presence of “burned” clays
- Presence of plant (wood / bark) “phytoliths”



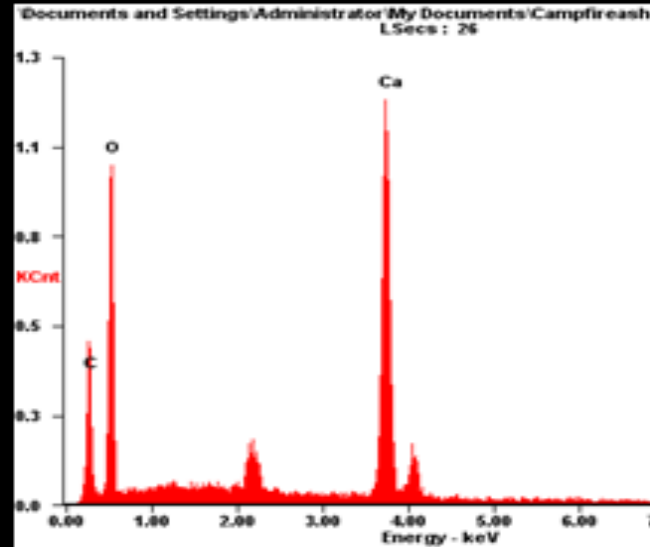
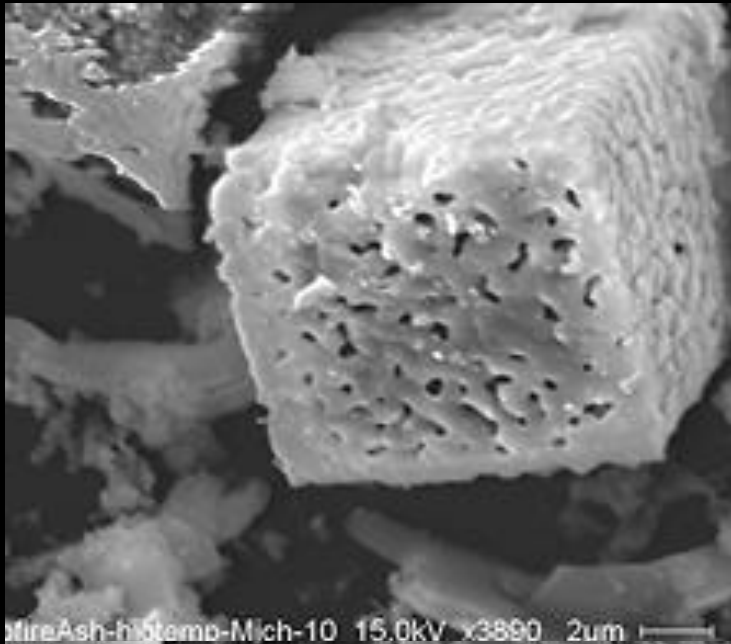
Campfire ash (Primarily Oak) – Bright field / Polarized Light

AUTOMATED SEM / X-RAY – Ash / Phytolith Analysis

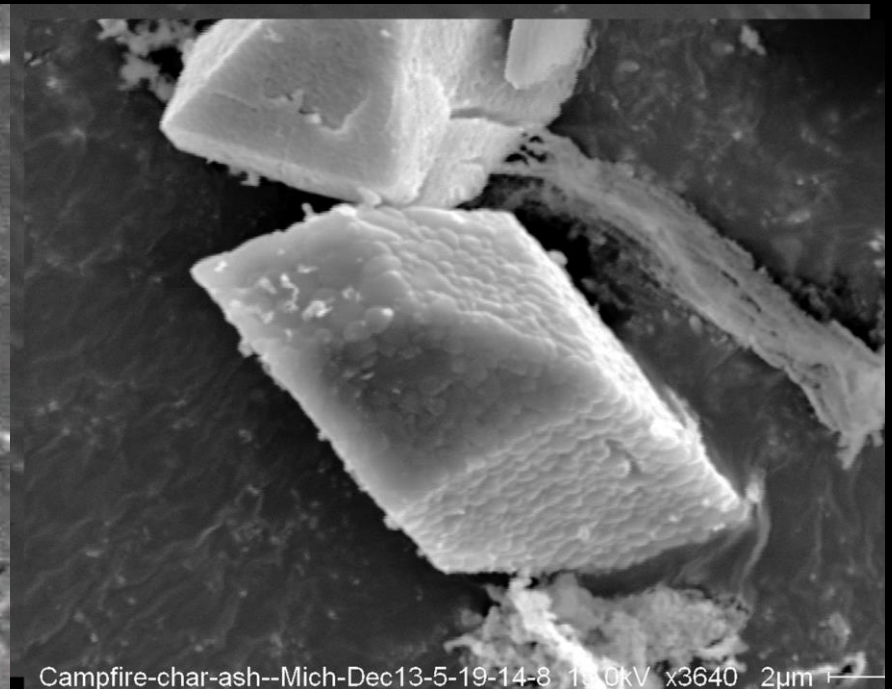
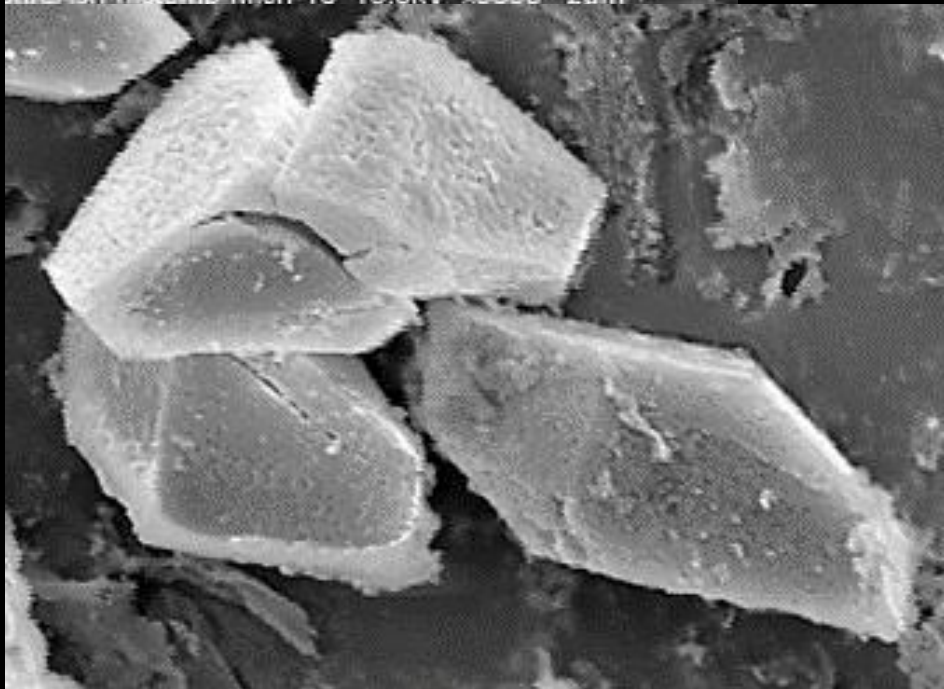
Definitive morphology, size, and compositional analysis



SEM - OAK ASH - INDICATORS



Insoluble salts CalciumOxide / Oxalate) phytoliths



LAB REPORT PARAMETERS?

The burden of proof likely requires the blended analysis of quantitative “fire residue” concentrations, and the presence / absence of assemblage indicators

The correct answer is not always defined by the “percentage” in the sample

SUMMARY CONCLUSIONS : * Fire/combustion residue measured above typical background concentrations
Qualitative observations indicate the presence of fire/combustion particles

QUALITATIVE ASSEMBLAGE OBSERVATIONS -Reflected Light Microscopy (10-200x) / Polarized Light (100-600x)

Lab sample description (color /texture)

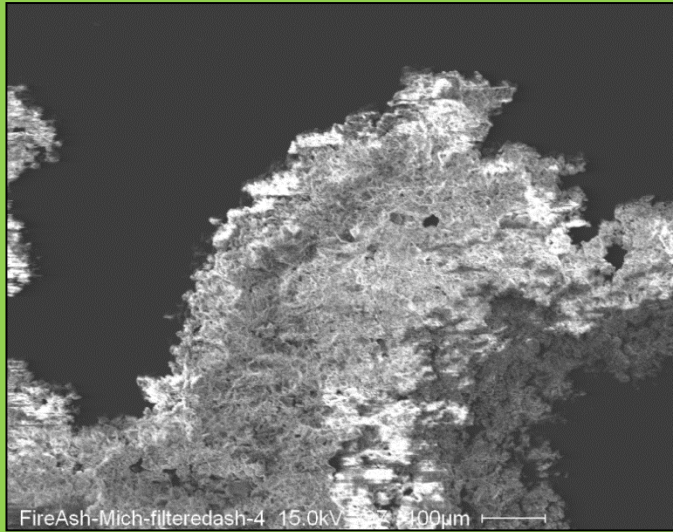
Is a smoke or fire odor present ? No
Are large char particles observed in reflected or polarized light ? Yes - isolated
Are large ash-like particles observed in reflected or polarized light? No
Are "burned" soil particles, pollen, or plant phytoliths observed? Yes - isolated

← Wildland fire indicators

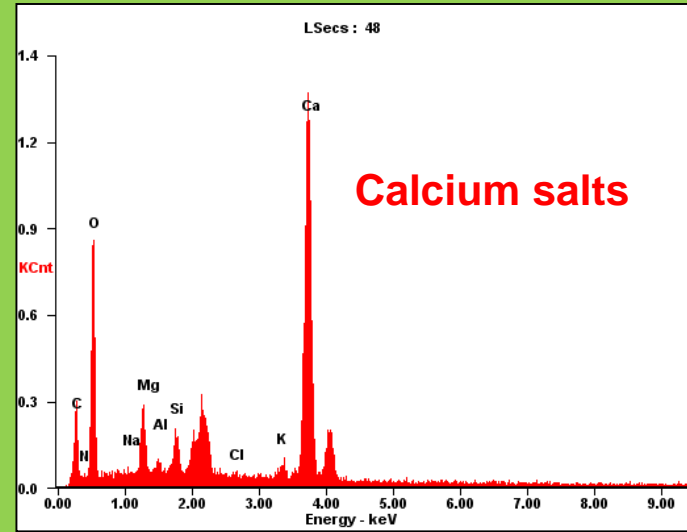
FIRE / COMBUSTION RESIDUE CONSTITUENTS	Total %	5.5 %
--	---------	-------

Aciniform / soot-like fine particles	not detected
Char (Pyrolyzed plant material)	4.8
Ash -like mineral residue particles	0.2
<i>Other</i> Burned pollen grains	0.5

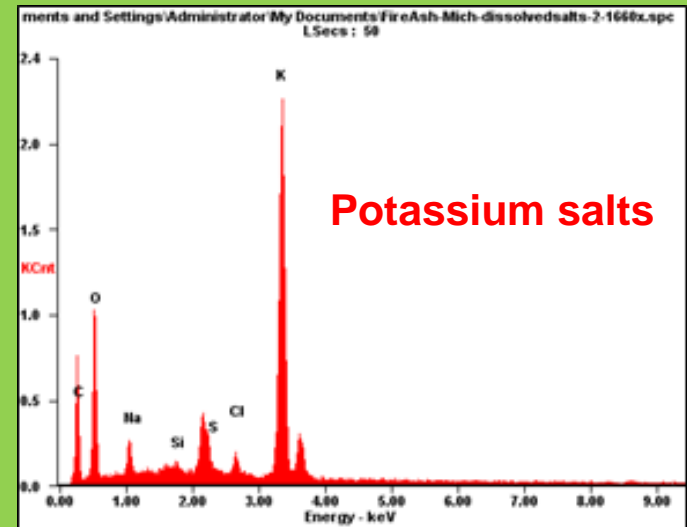
OTHER INDICATORS? pH / Conductivity - SOLUBLE vs. NON-SOLUBLE ASH



X-ray composition of the fire ash filtrate “solids” after a triple rinse of distilled water

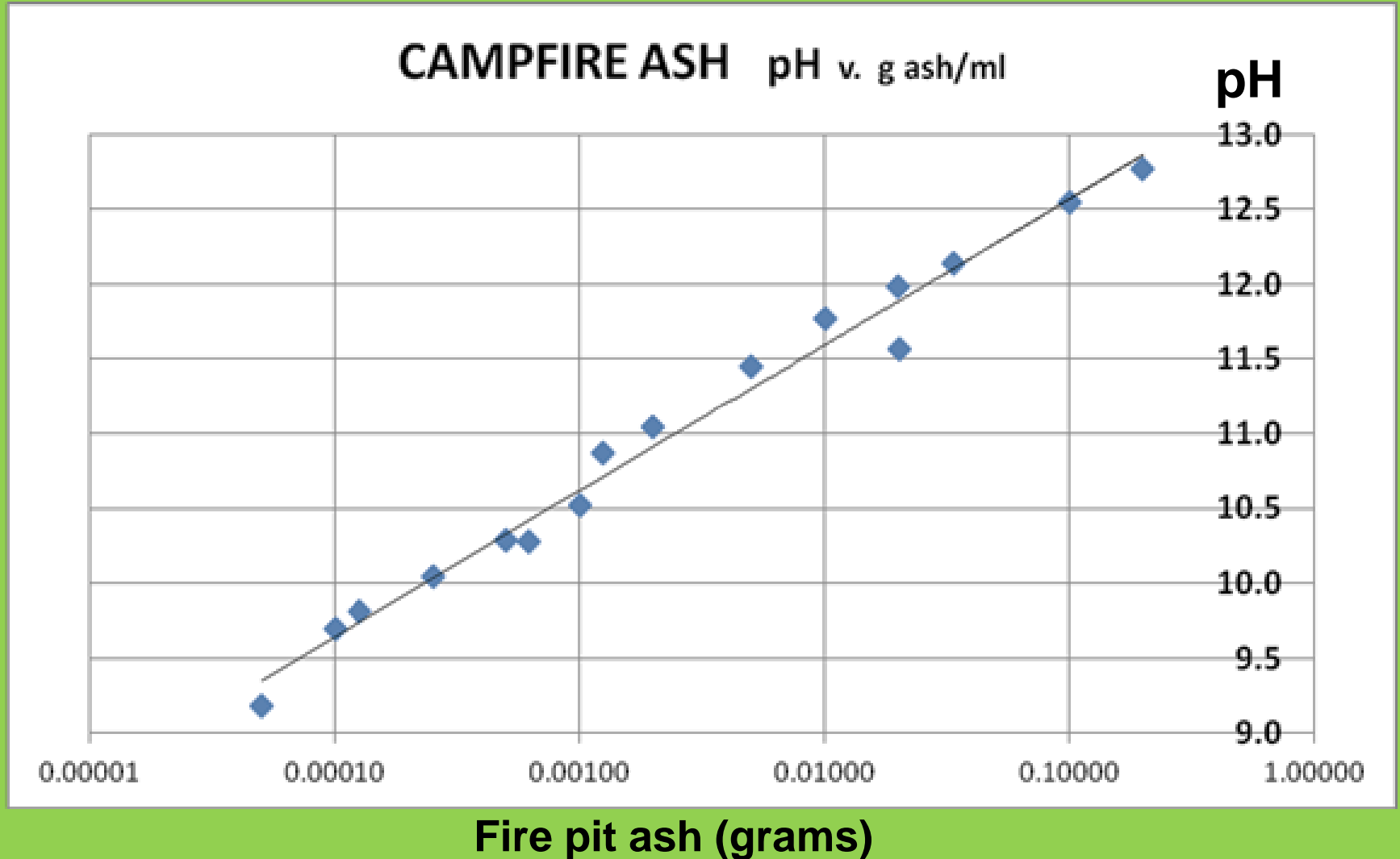


X-ray composition of the fire ash supernatant solution crystals after evaporation



pH ANALYSIS METHOD – EAA

pH v. g/ml -- Serial dilution



SUGGESTED “ASH” CONTAMINATION GUIDANCE

pH Analysis (Wildfire residue only)

6.0 7.0 8.3 (pH of seawater) 9.0 10 12



6 - 7.5 “Typical” / normal background

7.5 – 8.3 “Typical” / normal background
Coastal Marine or carbonate soil areas (sea salt influence)

8.3 – 9.0 Possible ash residue.

>9.0 Ash likely present

All measurements based on dilution of >0.001 grams dust diluted to 3ml distilled water.

SUMMARY CONCLUSIONS / BURDEN OF PROOF

- Address the allegations made in the claim.
- Effectively communicate the concept of “normal / typical” levels.
- Address potential background sources.
- Address the historical “re-entrainment” potential.
- Explain how the sampling protocol addresses the claim.
- Sampling should include both positive and negative controls.
- Properly apply the laboratory data to the scope of the claim.
- Be aware that the knowledge base, suggested methods, and tools are rapidly changing.



THE END

Are there any questions?